Multiple-Advisor Reporting

Key Benefits

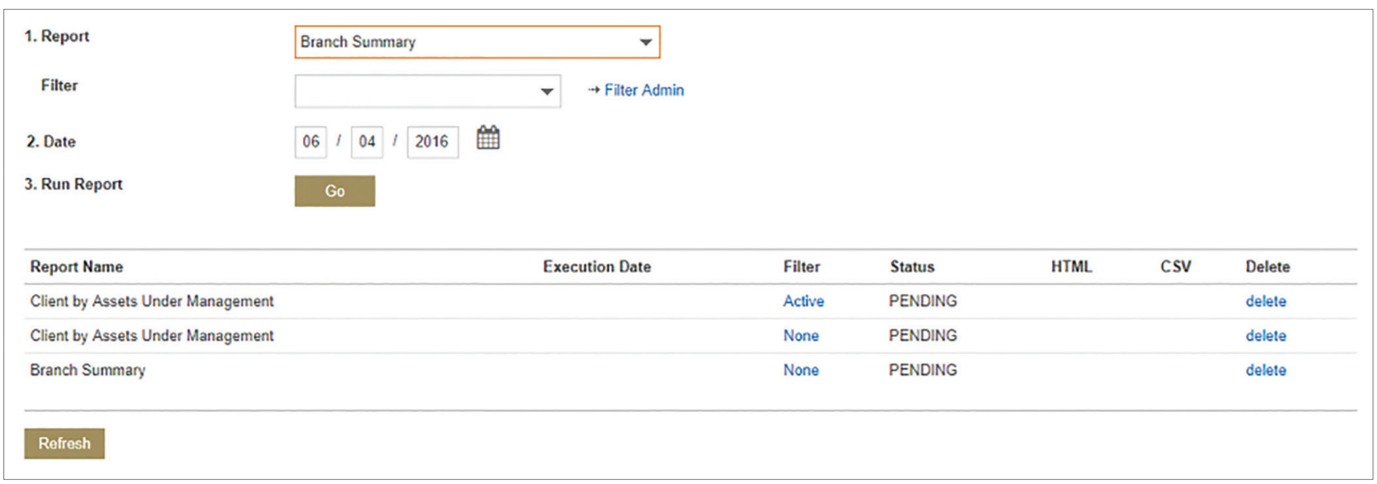
- Provides the ability to generate detailed reports on books of business for all advisors and utilize filters to mine data out of these reports
- Improves operational efficiency and eliminates time consuming, manual compilation of multiple-advisor information
- Provides the ability to analyze advisor investments as a whole or isolate investors/investments by specific characteristics
- Increases productivity by allowing quicker response to compliance inquiries

Complete automated reporting on the advisors you supervise, which enables you to spend less time overseeing advisors' data and more time growing and managing your business.

Albridge Wealth Reporting's multiple-advisor reporting functionality provides Office of Supervisory Jurisdiction, back office personnel and compliance users with the ability to quickly and efficiently produce detailed reports on the books of business of the advisors they oversee. With the ability to selectively mine data out of these reports, a deeper level of business intelligence can be achieved.

Back office and compliance users can produce reports to review transactions performed by the advisors they supervise to identify possible compliance issues, cross-sell opportunities and the product companies and money managers that their advisors are doing the most business with over any time period. Users can quickly identify which of their advisors are the largest producers or carry the biggest books of business.

When combined with Albridge's Data Aggregation services, multiple-advisor reporting users have the ability to identify held-away assets and evaluate potential revenue sources.



1. Report: Branch Summary

Filter: → Filter Admin

2. Date: 06 / 04 / 2016

3. Run Report: Go

Report Name	Execution Date	Filter	Status	HTML	CSV	Delete
Client by Assets Under Management		Active	PENDING			delete
Client by Assets Under Management		None	PENDING			delete
Branch Summary		None	PENDING			delete

Refresh

The Branch Summary report details advisor information by AUM, number of clients, accounts and active/inactive status.

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Data on all multiple-advisor reports can be filtered and sorted to selectively pull, or mine, information from books of business. This provides the ability to analyze advisor investments as a whole or isolate investors/investments by specific characteristics.

Multiple-advisor reports run asynchronously in the background of the Albridge Wealth Reporting platform, so work can continue uninterrupted while the reports are processing. Accessed via Albridge's easy-to-use advisor report interface, the multiple-advisor reports offered through Albridge Wealth Reporting include:

- Branch Summary
- Client by Assets Under Management
- Client Holdings
- Client by Sales
- Master Client Information
- New Accounts
- Product Companies by Assets Under Management
- Product Companies by Sales

For more information

To learn more about Albridge Solutions, contact your client service representative (877) 252-9963 or sales@albridge.com.

Albridge Solutions, Inc. is a leading provider of enterprise data management solutions that deliver a single view of an investor's broad range of assets. Our proprietary technology consolidates and reconciles client account and transaction data from hundreds of data sources representing banking, brokerage, insurance, retirement, managed accounts and more. Albridge provides the foundation for financial organizations to leverage a single source of information to power a number of mission-critical technology applications.

Pershing and its affiliates provide global financial business solutions to advisors, asset managers, broker-dealers, family offices, fund managers and registered investment advisory firms. A financial services market leader located in 23 offices worldwide, we are uniquely positioned to provide advisors and firms global insights into industry trends, regulatory changes and best practices, as well as shifts in investor sentiment and expectations. Pershing provides solutions—including innovative programs and business consulting—that help create a competitive advantage for our clients.

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